## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

OCT 1 4 2005 plicant(s):

William D. Wilber

**Docket:** 

132706 (A8241)

rial No.: Filed:

09/987,376 11/14/2001

**Art Unit:** Examiner: 2817

Title:

Stephen E. Jones A Tunable Triple-Mode Mono-Block Filter Assembly

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97 & 1.98

Commissioner for Patents P. O. Box 1450 Alexandria VA 22313-1450

Certificate Under 37 C.F.R. §1.8(a)
I hereby certify that the above correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope directed to: Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450 on, 2005 in accordance with 37 C.F.R. §1.6(d).
Jerri Pearson

Dear Sir:

In the matter of the above-identified application, Applicant is submitting herewith a copy of a communication from a foreign patent office in a counterpart foreign application and copies of the documents listed in the attached form equivalent to Form PTO/SB/08A and PTO/SB/08B for the Examiner's consideration.

This Information Disclosure statement is being submitted:

- 1) within three months of the filing date
- 2) within three months of the date of entry of the national phase
- 3) before the mailing date of a first Office Action on the merits
- 4) before the mailing date of either a Final Action or a Notice of Allowance and is accompanied by
  - $\boxtimes$  1) the fee of \$180.00 set forth in 37 CFR 1.17(p).
  - the following certification specified in 37 CFR 1.97(e).

On information and belief, I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

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Page 1

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Serial No.: 09/987,376 Examiner: Stephen E. Jones

2) To the extent the documents listed on the attached form equivalent to Form PTO-1449 are not in the English language, the requirement of 37 CFR 1.98(a) (3) for a concise explanation of the relevance is satisfied by

 $\square$  1) an English language version or translation of the foreign patent office report citing the documents.

 $\square$  2) the following remarks.

3) the discussion of these documents in the specification.

Nine of the non-patent publications listed in this Information Disclosure Statement were cited in related U.S. Patent Applications (also listed), but Applicants' present attorneys do not have copies of the documents. It is believed that the article of Doust et al, on "Satellite Multiplexing..." can readily be found in the file of U.S. Patent 6,853,271 (application serial number 09/987,353). The remaining eight publications should be readily available in the file of application serial no. 10/277,971.

It is respectfully requested that this Information Disclosure Statement be considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, includes extension of time fees, to the Deposit Account No. 50-0838 of Alcatel USA, and please credit any excess fees to such Deposit Account.

Respectfully submitted,

V. Lawrence Sewe Reg. No. 22,753

Alcatel USA Intellectual Property Department 3400 W Plano Parkway, M/S LEGL2 Plano, TX 75075

Phone: (972) 519-3735 Fax: (972) 477-9328

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Col	mplete if Known	
Application Number	09/987,376	-
Filing Date	11/14/2001	
First Named Inventor	William D. Wilber	
Art Unit	2817	
Examiner Name	Stephen E. Jones	
Attorney Docket Number	132706 (A8241)	

				DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (if known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 4614920	9/30/1986	Tong	
		US- 2003/0090344 A1	5/15/2003	Wang et al	
		US- 2003/0090343 A1	5/15/2003	Wilber et al	
		US- 2003/0090342 A1	5/15/2003	Wilber et al	
		US- 4431977	2/14/1984	Sokola et al	
		US- 5083102	1/21/1992	Zaki	-
		US- 5325077	6/28/1994	Ishikawa et al	
		US- 5589807	12/31/1996	Tang	
		US- 5821837	10/13/1998	Accatino et al	
		US- 5859574	1/12/1999	Schmitt	
		US- 6359534 B2	3/19/2002	Hunter	
		<sup>US-</sup> 6484043 B1	11/19/2002	Klein et al	
		<sup>US-</sup> 6507254 B1	1/14/2003	Hattori et al	
		US- 6535086 B1	3/18/2003	Liang et al	
		US- 6552628 B2	4/22/2003	Jiang	
		US- 6563397 B1	5/13/2003	Jang	
		<sup>US-</sup> 2002/0003451 A1	1/10/2002	Hunter	
		<sup>US-</sup> 2002/0041221 A1	4/11/2002	Abdulnour	
		US- 2002/0093395 A1	7/18/2002	Jang	

		FORE	IGN PATENT DOC	JMENTS						
Examiner Initials*	Cite No.1					Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	.,	Or Relevant Figures Appear	T⁵				
		EP 1313164 A2	5/21/2003	Alcatel						
		EP 1265313 A2	12/11/2003	Murata						
		EP 1122807 A1	08/08/2001	Furata						
[										

	<u> </u>		
Examiner		Date	
Signature		Considered	

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND

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<b>INFORMATION</b>	<b>DISCLOSURE</b>
STATEMENT B	Y APPLICANT

449/PTO

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Complete if Known			
Application Number	09/987,375		
Filing Date	11/14/2001		
First Named Inventor	William D. Wilber		
Art Unit	2817		
Examiner Name	Stephen E. Jones		
Attorney Docket Number	122706 (4.9241)		

PTO/SB/08A (07-05)

Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
nitials*	No.1	Document Number	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevan
		Number-Kind Code <sup>2 (if known)</sup>		Approach of oxed boothich	Figures Appear
		<sup>US-</sup> 2002/0186103 A1	12/12/2002	Ando et al	
		<sup>US-</sup> 2003/0117244 A1	6/26/2003	Matsuura et al	
		US- 6556106	4/29/2003	Sano	
		<sup>US-</sup> 6081175	6/27/2000	Duong et al	
		<sup>US-</sup> 5783979	7/21/1998	Andoh et al	
		US- 2001/000656 A1	5/3/2001	Arakawa et al	
		US- 6020800	2/1/2000	Arakawa et al	
		US- 5926076 A	7/20/1999	Heine et al	
		US- 6954122	10/11/2005	Wilber et al	
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		FOREIGN	PATENT DOCU	MENTS											
Examiner Initials*	Cite No.1											Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
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Substitut	te for form 1449/P70				Complete if Known
The state of the s	te for form 14494			Application Number	09/987,376
			CLOSURE	Filing Date	11/14/2001
STA	TEMENT B	YA	PPLICANT	First Named Inventor	William D. Wilber
	(Use as many she	ets as n	ecessarv)	Art Unit	2817
	, = = = =,			Examiner Name	Stephen E. Jones
Sheet	3	of	4	Attorney Docket Number	132706 (A8241)

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Tikhov et al., "A Novel Surface Mount Filter Based on a Triple-Mode Ceramic Cavity", Radio and	
		Wireless Conference, 2001, RAWCON 2001, IEEE, 19-22 Aug. 2001, pp.161-164	
		Technical Glossary, Basic Terminology for Quartz Crystal Resonators, http://www.4timing.com/termcrystal.htm	
		Ceramic Resonator Glossary, Caliber Electronics, http://www.caliberelectronics.com	
		Microwaves, Metal and Arcing, Excerpts from the book The Complete Microwave Oven Service	
		Handbook, http://www.gallawa.com/microtech/metal_arc.html	
		Glossary of Terms, Spectrum Control, Inc.	
		Spurious Couplings Degrade Bandpass Filter Performance, Kurzrok, MWRF October 1999, http://www.microwavesrf.com/Globals/PlanetEE/dsp/article.cfm	
		Tunable Compline Filter Using 60 Degree Resonators, Kurzrok, Applied Microwave & Wireless, pgs 98, 100	
<u> </u>		Generalized Multi-Layer Compline and Dielectric Loaded Resonators for CAD of High Performance Base Station Filters, Wang t al, Progress in Electromagnetics Research Symposium, July 5-14, 2000, Cambridge, MA, USA	
		TEM Mode Dielectric Combline, FSY Microwave, Inc., http://www.fsmicrowave.com/temcomb.htm	
		Coupling Bandwidth and Reflected Group Delay Characterization of Microwave Bandpass Filtesr, Martin et al., Applied Microwave & Wireless, pgs. 86-98	

Examiner	Date	
Signature	Considered	d

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Filing Date

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INFORMATION DISCLOSURE
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(Use as many sheets as necessary)

Sheet

In 11/14/2001

First Named Inventor

William D. Wilber

Art Unit

Examiner Name

Stephen E. Jones

Attorney Docket Number

132706 (A8241)

Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	
Initials*	No. <sup>1</sup>	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
		Cavity and Surface-Mount Delay Line Filters for Feedforward Power Amplifiers, K&L Microwave, Inc., September 1999, pages 1-5	
		Product Definitions Delay Lines; http://www.rhombus-ind.com/prodefin.html	
		The Website for Mobile Comunications EMC Technology, Inc. RF resistive Products, Attenuators, Power Sensing Terminations, Thermopads and Delay Lines, http://www.mobilecomms-technology.	
		com/contractos/electroniccomponents/emc/ e-tenna Corporation, "Using Variable delay Lines to Enhance Feedforward Power Amplifiers & Systems Application of e-tenna Corporation's VDL100, pgs 1-9	
		MDP Microwave Products Digest, September 2001, Variable Delay Lines Enhance Feedforward Power Amplifies and Bypass Applications, Lilly, et al, http://www.mpdigest.com/Articles/Sept2001/etenna/Default.htm	
		Using Resonated Couplings in Filters, RS Microwave Techonlogy Center, May 1997, http://rf.rfglobalnet.com/library/ProductNotes/files/6/5-97tech.tm	
		Doust et al., Satellite Multiplexing Using Dielectric Resonators Filters,", dec. 1989 Microwave Journal, pp. 93-106	

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